

Yamhill County Membership Application for Advisory Board, Commission or Committee

Please return this application to the Board of Commissioners' Office:

Mail: 535 NE 5th St, McMinnville, OR 97128 • Location: 434 NE Evans St • Email hintonk@co.yamhill.or.us

Notes: 1) Yamhill County operates under the Oregon Public Records Law. Information contained in this application may be released upon proper request. 2) Planning Commissioners are bonded and must file an annual statement of economic interest with the Oregon Government Ethics Commission. 3) Fair Board members are bonded. 4) Solid Waste Advisory Committee (SWAC) applicants serve under ORS 459.320 Group Designations. 5) Yamhill County employees are prohibited from serving on the Housing Authority Board per ORS 456.095(3).

Local Investment Advisory Committee

9/22/2021

Name of Advisory Board, Commission or Committee

Date

Applicant's name (please print) Stacey K. Flier

Applicant's occupation Investment Consultant

Referred by Kris Bledsoe

Mailing address Newberg, 97132

box or street address

city

zip code

Residence address same

street address

city

zip code

Telephone: preferred contact number _____

E-mail address stacey.flier@gmail.com

Organizational affiliation if applicable _____

SWAC applicants list the ORS 459.320 Group Designation: _____

Information to assist the Board of Commissioners in considering your application (the back of this page may be used if more space is needed and a resume may be attached):

I am interested in serving on the LIAC because I would like to help Yamhill County and this committee is the one most in alignment with my skills. I am currently employed full-time as an investment consultant with a large consulting firm, and have spent over 25 years in this industry. Please see my attached resume for more information. This role provides me with the opportunity to connect with others interested in finance in my local community and hopefully my experience could be additive to the committee.

Applicant's signature Stacey Flier

Digitally signed by Stacey Flier
Date: 2021.09.24 10:36:34 -07'00'

For office use only

B.O. # 21-431

Date approved 10/21/21

Stacey K. Flier, CFA

[REDACTED] Newberg, OR 97132

[REDACTED] stacey.flier@gmail.com

PROFESSIONAL PROFILE

- Senior investment consultant with 28 years of investment experience focused on advising ultra-high net worth families. Proven ability to combine both strong interpersonal skills and technical skills to assist clients in managing their portfolios to meet their goals.
- Trusted advisor that focuses on developing loyal relationships with families based on high-touch service. Skillset includes working with both discretionary and nondiscretionary mandates, US and Non-US families, complex trust structures and family foundations.
- Demonstrated success in maintaining high levels of client satisfaction, high rate of referrals from clients, and exceptional client retention rates. I have maintained a client retention rate exceeding 95%.
- Skilled at navigating complex and challenging client situations and optimizing client relationships. My clients are some of the largest (in terms of assets) and complex (in terms of structure) within the private wealth team of our firm. Resourceful problem solver that works to find unique solutions for clients' needs.
- Strong interpersonal, written, and verbal communicator who engages effectively with clients, prospects and colleagues. Recognized by colleagues as being a team player and a mentor.

AREAS OF EXPERTISE

- After-tax asset allocation analysis
- Goals-based investing analysis
- Portfolio implementation and strategy
- Discretionary portfolio management
- Family foundations
- Investment policy development
- Trust structures
- Traditional and alternative investments
- Custodial search
- Performance reporting
- Family governance
- Tax management

PROFESSIONAL EXPERIENCE

PRINCIPAL, SENIOR CONSULTANT

NEPC LLC, March 2017 to Present

- Serve as senior consultant for private wealth clients with portfolios between \$50mm - \$2B in assets. Currently working with eleven relationships with both US-based and Non-US clients. Responsible for structuring the portfolios of clients in order maximize after-tax return, taking into consideration clients' differing risk tolerances. Effectively deliver investment advice and educate clients on investment trends, opportunities and challenges. Collaborate closely with research group in order to optimize portfolios with allocations to innovative, alpha-generating investment managers across asset classes/strategies. Strong knowledge of complex investments.
- Contribute to business development efforts via client referrals, cultivating relationships with centers of influence and expanding existing relationships. At current position, I have been successful in meeting or exceeding targeted revenue goals.
- Contribute to team collaboration and initiatives. I am a member of several committees at NEPC. I value a team environment and actively mentor more junior members of the advisory team.

DIRECTOR, INVESTMENT ADVISORY SERVICES (SENIOR CONSULTANT)

CTC | myCFO, January 2014 to March 2017

CONSULTANT

CTC | myCFO, June 2012 to January 2014

CONSULTANT, PARTNER

CTC Consulting LLC, Portland, Oregon. April 2008 to June 2012

SR. VICE PRESIDENT, CONSULTANT

CTC Consulting Inc., Portland, Oregon. 2000-2008

ASSISTANT VICE PRESIDENT/SENIOR INVESTMENT ANALYST

CTC Consulting Inc., Portland, Oregon. March 1995 to 2000

- Provided customized asset allocation studies for high-net worth families, foundations and qualified retirement plans. Responsibilities included analyzing the client's investment objectives, risk tolerance and constraints, forecasting risk and return numbers for multiple asset classes, determining appropriate investment options for client, selecting efficient portfolios, and writing formal investment policies. Also responsible for redesigning and improving asset allocation product.
- Conducted due diligence investment manager reviews. Evaluated the investment manager's style utilizing style analysis software, conducted in-person and telephone interviews to assess the strengths and weaknesses of a manager's strategy, conducted a risk analysis of the manager's product relative to an appropriate benchmark and performed a quantitative analysis of the historical performance of the manager.
- Managed over \$30 million dollars for taxable clients using a passive indexing strategy. Managed both large capitalization and small capitalization equity strategy accounts.

EMPLOYEE BENEFITS ANALYST

U.S. Bank, Seattle, Washington. May 1993 to March 1995

- Worked with trust officers in administering over 200 qualified retirement plans and IRAs.
- Responsible for set up and maintenance of accounts utilizing trust administration and asset allocation software.
- Monitored accounts to ensure compliance with ERISA, SEC, and DOL requirements.
- Designed and produced investment performance review packet for distribution to IRA clients and plan sponsors.

FINANCIAL ANALYST ASSISTANT (INTERNSHIP)

Merrill Lynch, London, England. January 1991 to April 1991

- Responsible for completing groundwork research and summarizing information for supervisor's column in weekly Merrill Lynch European Equity publication.
- Completed two in-depth financial studies: "The Implications of EEC 1992" and "The Impact of the Gulf War on European Construction Firms."

EDUCATION

MASTER OF BUSINESS ADMINISTRATION, *Portland State University*
Portland, Oregon. September 1997 to May 2000

BACHELOR OF ARTS - BUSINESS LEADERSHIP WITH HONORS, *University of Puget Sound*
Tacoma, Washington. August 1988 to May 1992

BUTLER UNIVERSITY (SPONSORED LONDON STUDY ABROAD PROGRAM)
January 1991 to April 1991
International Finance Program – Studied at Universities in London and Cambridge, England

PROFESSIONAL EDUCATION

CHARTERED FINANCIAL ANALYST
Awarded the right to use the CFA designation.

REGISTERED INVESTMENT ADVISOR
Passed Series 65 Exam in August 1997.

CERTIFIED EMPLOYEE BENEFIT SPECIALIST COURSES
Completed five out of ten required courses for the CEBS designation, including classes on the management and investment of defined contribution and defined benefit plans.

ORGANIZATIONS

CFA Society of Portland

- President of CFA Society of Portland Board 2006-2007
- CFA Society of Portland Board 2001-2007

Portland Women in Investment Management – Portland

- PWIM Board 2020-current

REFERENCES AVAILABLE UPON REQUEST